SUPPORTING INFORMATION FOR APPLICANTS APPLYING TO THE HS&DR PROGRAMME

(On-line NIHR Standard Application Form (SAF))

Version: November 2017
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1. About this supporting information
This document contains information to assist applicants submitting either a Stage 1 or Stage 2 application form. Applications for funding are made online through the NETSCC Management Information System (NETSCC MIS). You must register or log-in to the NETSCC MIS to complete and submit your application.

Please also ensure that you have read the application form guidance notes fully and referred to the NIHR HS&DR programme website before contacting us with any queries. We have endeavoured to cover all necessary information relating to the application form through these resources. Incorrectly completed applications may be rejected.

The following additional supporting information is available on the individual HS&DR funding opportunities web pages:

- **HS&DR Programme page / aims and scope**
- **HS&DR application process**
- **Tips for success in applying**
- **Word version of Stage 1 application form**
- **Word version of Stage 2 application form**
- **Checklist for applicants to describe intervention and context features in HS&DR research proposals**
- **Standards for Reporting Implementation Studies: the StaRI Checklist of items to be reported**

Other information / links:

- **MRC complex intervention guidance**
- **ISRCTN registry**

2. HS&DR Programme - eligibility
Researchers in England, Northern Ireland, Scotland and Wales are eligible to apply for funding under this programme. Researchers in Scotland may apply to the researcher-led workstream but are not eligible to respond to the commissioned workstream and should contact the CSO to discuss funding opportunities for healthcare delivery-type research. Within the United Kingdom anyone who considers that they can carry out high-quality research is likely to be eligible. If you have any concerns regarding your eligibility to apply we advise that you contact us before completing an application.

NETS programmes welcome applications that are within the programmes' remits from all sectors. Applicants are strongly advised to consider establishing partnerships with other relevant sectors or organisations to demonstrate they have the full breadth of expertise to carry out their proposed research in their applications to NETS programmes. Applicants should always check individual call specification documents for any additional eligibility requirements.
3. NETSCC proposal resubmission policy
Please note that an unsuccessful proposal cannot normally be resubmitted to this or any other NETS programme within one year of the original decision letter, unless applicants can demonstrate that it has changed significantly and is essentially a new proposal.

4. Data Protection
We have an obligation to keep data secure and to use it appropriately. To fulfil our obligations under law and as a result of our contract with the Department of Health, we adopt various procedures to use and protect data. This will impact on how we deal with you and your joint applicants.

The Department of Health, National Institute for Health Research (DH NIHR) is the Data Controller under the Data Protection Act 1998 (‘the Act’). Under the Data Protection Act, we have a legal duty to protect any information we collect from you. You should be aware that information given to us might be shared with other DH NIHR bodies for the purposes of statistical analysis and other DH NIHR research management purposes. NETSCC also reserves the right to share, in confidence, details of your application with other approved research funding organisations outside NIHR in order to coordinate research activity in the UK.

Information collected from you will not be passed to any third party outside the NIHR except specifically as detailed above without your consent except where we are under a statutory obligation or entitled to do so by law. Applicants may be assured that DH NIHR is committed to protecting privacy and to processing all personal information in a manner that meets the requirements of the Act.

5. Data Security - data about you
Personal information will be held on a database in the NETSCC password-protected network that is available only to NETSCC staff. Your details and those of your joint applicants will be retained by NETSCC on behalf of the Department of Health to facilitate the running of the HS&DR programme. If your application is successful at any stage of our process your name, and the details of the sponsoring organisation, will appear on the HS&DR website (www.nets.nihr.ac.uk/hsdr). In addition, once funding has been agreed and the contract signed, your details will appear in other literature as a grant holder and will be passed to the Department of Health (DH) for inclusion in their publicly available databases of research projects.

Your name and those of your joint applicants will be added to our mailing list. This means that you will be sent updates on all the programmes. We may also send you separate literature about the HS&DR programme and related events in medical/health research. If you have any questions, or if you would prefer not to receive routine and/or general communications, please contact us at: hsdinfo@nihr.ac.uk.

Useful Resources

6. Research Design Service
Applicants are recommended to seek advice from suitable methodological support services, at an appropriate stage in the development of their research idea and
application. It is advisable to make contact at an early a stage as possible to allow sufficient time for discussion and a considered response.

The NIHR Research Design Service can advise on appropriate NIHR programme choice, and developing and designing high quality research grant applications (http://www.nihr.ac.uk/research-design-service/contact-us.htm).

7. Clinical Trials Unit Support

Clinical Trials Units are regarded as an important component of many trial applications, and can advise and participate throughout the process from initial idea development through to project delivery and reporting. However, they may not be essential for all types of studies. If you feel this is the case, please justify the reasons on your application.

If you are looking for a CTU to collaborate with in your application, then the following sources can provide more help:

NIHR CTU Support Funding (http://www.nihr.ac.uk/ctu-support-funding.htm) provides information on units receiving funding form the NIHR to collaborate on research applications to NIHR programmes and funded projects.

The UKCRC CTU Network (http://www.ukcrc-ctu.org.uk) provides a searchable information resource on all registered units in the UK, and lists key interest areas and contact information.

8. INVOLVE / Public Involvement

The NIHR expects the active involvement of patients and the public in the research it supports, including research undertaken as part of an individual training award. NIHR recognise that the nature and extent of active patient and public involvement is likely to vary depending on the context of each study or award. The term involvement refers to an active partnership between patients, members of the public and researchers in the research process. This can include, for example, involvement in the choice of research topics, assisting in the design, advising on the research project or in carrying out the research.

In this section it is important that you describe in as much detail as possible how patients and the public have been involved in the development of the application as well as plans for involvement in the proposed research. Please note that this section does not refer to the recruitment of patients or members of the public as participants in the research.

Further information and resources can be found at the INVOLVE website. Further information and resources can be found on the INVOLVE website http://www.invo.org.uk/. This includes a detailed definition of patient and public involvement in research, briefing notes for researchers on how to involve patients and the public and an involvement cost calculator and budgeting guide. The NIHR Research Design Service provide advice on developing research applications including involving patients and the public and the James Lind Alliance has a step-by-step guide on involvement in research identification and priority setting.
9. Ethics / Regulatory Approvals
Guidance on the application process for ethical and other approvals can be found on the HRA website. Please note that if your study is led from England and involves the NHS in England you should apply for HRA approval.

If you are using patient information from an existing database, you should check whether the patients have given their consent for their data to be included in that database for research purposes, or if not whether the database is exempt under Section 251 of the NHS Act 2006. Where exemptions are not already in place, approval to use confidential patient information without consent must be requested from the HRA who make decisions with advice from the Confidentiality Advisory Group. (CAG)

To take advantage of the growing utility of routine data (such as HES, GP records etc.), we would encourage investigators, where appropriate, to ask study participants to consent to long term follow up (e.g. beyond the outcomes to be collected in the funded trial) using routinely collected data, and appropriate linkage to allow this data to be best used.

Information on the responsibilities of key research stakeholders including Sponsors, Chief Investigators and Research Teams are summarised in the UK policy framework for health and social care research.

10. Reasonable costs and value for money
The HS&DR programme accepts that some variance in costs is likely to occur between the Stage 1 and Stage 2 applications. The HS&DR programme will carefully scrutinise all Stage 2 application costs and any variance from the first stage. All costs need to be fully justified by the applicants to reassure that the study offers good value for money for the NHS.

There are no fixed limits on the duration of projects or funding, and proposals should be tailored to fully address the research problem. Research costs are the costs of the research activity itself. These include data collection, analysis, other activities needed to answer the research questions, trial registration (if required) and the salary and indirect costs of staff employed to carry out the research.

11. Information for estimating costs
If you are unsure of how to attribute the costs between research and NHS support and treatment costs, please refer to the following guidance on ‘Attributing the costs of health & social care Research & Development (AcoRD)’

Higher Education Institutions (HEIs) should determine the Full Economic Cost (FEC) of their research using the Transparent Approach to Costing (TRAC) methodology. For HEIs, up to 80% of FEC will be paid, provided that TRAC methodology has been used. For applications where the contractor is an NHS body or provider of NHS services in England, up to 100% of direct costs will be paid.

12. Requirements for systematic reviews to be registered with PROSPERO
Applicants undertaking systematic reviews should note the commitment of NIHR to register them in the PROSPERO database. PROSPERO was developed by the NIHR’s Centre for Reviews and Dissemination (CRD), and is the first online facility to register systematic reviews for research about health and social care from all around the world. Access is
completely free and open to the public. PROSPERO registration is a condition of NIHR funding for eligible systematic reviews. Link to PROSPERO website: http://www.crd.york.ac.uk/prospero/.

13. UK Biobank

UK Biobank is a major national health resource, and a registered charity in its own right, with the aim of improving the prevention, diagnosis and treatment of a wide range of serious and life-threatening illnesses – including cancer, heart diseases, stroke, diabetes, arthritis, osteoporosis, eye disorders, depression and forms of dementia. UK Biobank recruited 500,000 people aged between 40-69 years in 2006-2010 from across the country to take part in this project. They have undergone measures, provided blood, urine and saliva samples for future analysis as well as detailed information about themselves. The health of members of this large cohort will be followed over the coming years and the participants have consented to be approached about health research. http://www.ukbiobank.ac.uk/

Applicants are encouraged to consider whether Biobank may be able to provide suitable data for their study. We do not want to discourage establishment of new collections of participants and their data where this is necessary to address the research questions under consideration, our aim is to avoid applications for funding to set up Biobank-like cohorts where the use of Biobank would prevent wasteful duplication of Biobank-like activities.

14. NIHR Carbon Reduction Guidelines

Researchers applying for NIHR funding are asked to consider the carbon footprint of their research and take steps to reduce carbon emissions where appropriate. Advice on how to do this can be obtained from the NIHR Carbon Reduction Guidelines https://www.nihr.ac.uk/research-and-impact/documents/NIHR-Carbon-Reduction-Guidelines.pdf.

15. Transparency agenda

In line with the government’s transparency agenda, any contract resulting from this tender may be published in its entirety to the general public. Further information on the transparency agenda is at:

http://www.contractsfinder.businesslink.gov.uk/
16. Guidance for using the electronic online Stage 1 application form

To Access the Application form for Stage 1 applications only

Select the call to which you wish to apply for on the funding opportunity page on the NETSCC website. From within the call details page press the ‘Click here to apply’ button to access the Stage 1 application form. This also provides call specific supplementary information e.g. the commissioning brief or specification document. This will direct you to the NETSCC MIS login page. If you already have a username (email address) and password, enter these details or, if you have not yet registered, complete the short registration process. You will then be directed to the confirmation page for the specific call. If this is the correct call, click on the Apply button and this will start the application process. Clicking Cancel will return you to your ‘home page’.

Applying for a funding opportunity creates a task called ‘Stage 1 Application’. This task will be available for you to complete until 1pm on the closing date as indicated on the research call and on your task list.

The ‘Stage 1 Application’ task can be accessed at any time until you either submit the application (using the Submit button in the application process which will appear once all the validation is complete) or the call closes.

See the screenshot example below:

<table>
<thead>
<tr>
<th>My Tasks</th>
<th>My Projects</th>
<th>Profile</th>
<th>Apply For Funding</th>
</tr>
</thead>
<tbody>
<tr>
<td>Apply for Funding</td>
<td>View All My Tasks</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

My Tasks

<table>
<thead>
<tr>
<th>System Reference Number</th>
<th>NETSCC ID</th>
<th>Chief Investigator</th>
<th>Task</th>
</tr>
</thead>
<tbody>
<tr>
<td>100826</td>
<td>12/27/04</td>
<td>Test, HSR</td>
<td>Stage 1 Application</td>
</tr>
</tbody>
</table>

Clicking on the Stage 1 Application link takes you to the Submit Application main page where you can complete your application information.

Additional guidance will be available on most screens as you progress through your application.

The NETSCC MIS can always be accessed directly at https://netscc-mis.nihr.ac.uk for you to go to your homepage where all your applications will be listed.

To submit a Stage 1 application

In order to submit a Stage 1 application to the programme you must:

- Complete all mandatory fields as indicated with a red asterisk *. The final review and submit page of the application provides a final check of the mandatory fields as well as providing reminders about optional entries.
- For primary research you should upload a flow diagram (single-side of A4), as a separate .pdf file, for submission with your application form. This should illustrate the
study design and the flow of participants (if appropriate). If the project consists of more than one work package, consider a diagram that conveys the sequence and timing of research packages as well as how the work packages are linked. Applicants should also describe complex interventions and controls as accurately and fully as possible within their diagram. If proposing an RCT, we advise you refer to the CONSORT statement and website for guidance (www.consort-statement.org). Alternatively, you may find the EQUATOR Network website useful (www.equator-network.org). The .PDF file must be submitted along with your application form.

- Submit a list of references used throughout your proposal (maximum 1 sides of A4).
- Upload any other requested documents e.g. cover letter, collaborative documents, as dictated by the specification of the call.

Giving others access to the form

- **Co-applicants**: Access to your application is through your user login to the NETSCC MIS. This should not be shared. The Stage 1 application does not require co-applicants to complete this form however as lead applicant you must ensure they are aware of being involved in the study team. If you want to share your form with your co-applicants, please create a PDF of the form and send it to them. Options to create a PDF are available on the Home page and the Review and Submit page.
- **Signatories**: You are not required to provide signatories for Stage 1 applications.
17. Guidance for using the electronic online Stage 2 application form

To access the application form for **Stage 2 applications only**

If you are applying for a *new call* e.g. an Evidence Synthesis, you can select the call to which you wish to apply for on the **funding opportunity** page on the NETSCC website. From within the call details page press the ‘Click here to apply’ button to access the Stage 2 application form. This also provides call specific supplementary information e.g. the commissioning brief or specification document. This will direct you to the NETSCC MIS login page. If you already have a username (email address) and password, enter these details or, if you have not yet registered, complete the short registration process. You will then be directed to the confirmation page for the specific call. If this is the correct call, click on the Apply button and this will start the application process. Clicking Cancel will return you to your ‘home page’.

Applying for a funding opportunity creates a task called ‘**Stage 2 Application**’. This task will be available for you to complete until **1pm on the closing date** as indicated on the research call and on your task list.

If you have been shortlisted from a Stage 1 form:

The ‘Stage 2 Application’ task can be accessed at any time until you either submit the application (using the Submit button in the application process which will appear once all the validation is complete) or the call closes.

See the screenshot example below:

Clicking on the **Stage 2 Application** link takes you to the application’s main page where you can complete your application information (clicking on this link will not submit an incomplete application).

Additional guidance will be available on most screens as you progress through your application.

The NETSCC MIS can always be accessed directly at [www.netscc-mis.nihr.ac.uk](http://www.netscc-mis.nihr.ac.uk) for you to go to your home page where all your applications and other tasks will be listed.
To submit a Stage 2 application

In order to submit a Stage 2 application to the programme you must:

- Complete all mandatory fields. The final review and submit page of the application provides a final check of the mandatory fields as well as providing reminders about optional entries.
- Submit a Detailed Research Plan, which should:
  - Have a font size of 11 Arial
  - Not exceed 20 A4 pages
  - Have a header containing your allocated project reference number if known
  - Have a footer showing your page numbers
  - Be converted to a .PDF version before uploading it
- Submit a flow diagram (single-side of A4), as a separate .pdf file, for submission with your application form. This should illustrate the study design and the flow of participants (if appropriate). If the project consists of more than one work package, consider a diagram that conveys the sequence and timing of research packages as well as how the work packages are linked. Applicants should also describe complex interventions and controls as accurately and fully as possible within their diagram. If proposing an RCT, we advise you refer to the CONSORT statement and website for guidance (www.consort-statement.org). Alternatively, you may find the EQUATOR Network website useful (www.equator-network.org). The .pdf file must be submitted along with your application form.
- Submit a CTU letter of support if appropriate to the study. This is not a mandatory upload.
- Submit a list of references used throughout your proposal (maximum 3 sides of A4).
- Upload any other requested documents e.g. cover letter, collaborative documents as dictated by the specification of the call.

Giving others access to the Stage 2 form

Co-applicants

Guidance for how co-applicants complete their sections can be found at: www.nihr.ac.uk/about-us/documents/NETS/MIS/MIS-brief-for-Co-Applicants.pdf

Including co-applicants in your Stage 2 application

Access to your application is through your NETSCC MIS user login. This should not be shared. The Stage 2 application is designed as a collaborative submission. As the lead applicant, you can nominate co-applicants to provide their CV information and collaborate on sections of the application. You select the type of access they have to the application. As a result of the nomination, your co-applicants will be invited via email to login to the MIS, accept their role and complete their application tasks.

If your application includes co-applicants, you will not be able to complete and submit your application until the co-applicant has provided the information that we require from them. Once you have completed the details for each co-applicant you have to select the option ‘notify’ on the Research Team Instructions page. This will then prompt an email to be sent to each co-applicant with a task Accept Stage 2 Application Participation (Co-Applicant) for them to approve involvement with the project in question. If the applicant agrees this generates two new tasks which will appear on their homepage.
1) Collaborate on Stage 2 Application (Co-Applicant) which requires the co-applicant to update their CV details.
And the other task:

2) Stage 2 Application, depending on the access permissions granted by the lead applicant, allows the co-applicant to read and/or amend any details in the application. It is the responsibility of the lead applicant to ensure that the co-applicants complete all the tasks generated.

- Enter co-applicants details accurately as we will use these to contact them (the exact email address used as their MIS username is essential to ensure they receive the automatic communication as part of the application process).

Please make sure that you:
- Allow sufficient time for your co-applicants to complete both parts of their task in the online form before the application deadline.

Signatories

Guidance for how co-applicants complete their sections can be found at:

Instead of requiring wet ink signatures (for roles such as Sponsor, Department Head, Financial Director, NHS facilities Manager etc.) on a paper copy of the application form, you will be asked to provide contact information (including a valid individual’s email address) about the required signatories for the Stage 2 application so that they can complete their approvals electronically. This process replaces the need for ‘wet ink’ signatures with an electronic version.

For signatories this is a two stage process and requires those nominated to first complete an ‘agree to participate’ task prior to application submission, followed by a ‘confirmation’ task immediately after submission of the application.

Firstly, you the lead applicant will be able to ‘Notify’ the signatories which will automatically invite them via email to register/login to the MIS and accept their role.

Following submission the lead applicant will notify each signatory using the MIS, they will then acknowledge that they have seen and support your completed submitted application in time for the deadline by submitting the ‘Provide full application signatures’ task. They will be able to see a PDF copy of your completed application via the system.

The HS&DR Programme acknowledges that it may not be possible for you to obtain confirmation from your Signatories immediately after submission, however; it remains your responsibility to obtain these electronic approvals within two weeks of the submission deadline. You can check that your signatories have completed the approval process on your MIS task page.

Once all signatories have completed their task you should take a screen shot of the completed page and email it to the relevant funding team. For contact details see ‘Assistance’ below.
18. Completing the form

ORCID
In line with other UK health research funders, NIHR have implemented a researcher identification scheme – ORCiD. In order to submit your Stage 2 application you are required to obtain a free unique ORCiD ID number and enter this onto your MIS user profile contact information. You will only have to do this once.

To do this go to the ‘Profile’ tab on your MIS Home page and click on ‘View my Contact Details’. On the next screen (Contact Information) either add or register for an ORCiD ID by clicking on ‘Add ORCID or register with ORCID’ which will redirect you to the ORCiD website, where you will be able sign in or register for an ID number. Once you have registered and authorised your ID you will be redirected back to your MIS Contact Information page where your ORCiD ID will now be displayed. More information about ORCiD can be found at https://members.orcid.org/resources#sec0.

N.B. For a Stage 2 application this is a mandatory requirement.

Saving your form
As you work through the application, you are asked to save each page. This will save all the information you have submitted so far. You can save the form at any point and leave the application prior to submission. The save button is always located at the bottom of each page of the application. Large text areas on the form also have their own save button beside them. The application task will remain on your home page until complete and submitted or the deadline for the application has passed.

It is important to remember to ‘Save’ each section as you go through the form before navigating away from the page.

There is a security time out set on the MIS so that after 60 minutes of inactivity, the user will be logged out of the MIS. It is advisable therefore to save your work at regular intervals using the save button on any page. The NETSCC MIS will give you a warning that you are due to be timed out 10 minutes before it times you out. If this message is displayed, you should close the pop-up.

There is a left hand navigation menu in the application so you can select specific parts of the form to complete, however you should always ensure that you save any information entered on your page before using this left hand menu.

Leaving the application task
You can leave your application task at any time. As long as you have saved any new information you have entered for the application, you can navigate to your home page or log out of the NETSCC MIS system.

Technical Support
If you encounter any problems with the NETSCC MIS system, you should contact the programme funding support team either via email or by phone. The contact numbers can be found on the NETSCC website on this link: www.nets.nihr.ac.uk/mis/contacts
Space restrictions when entering text
You should be aware that there are character limits set for each text box within the application form. For larger text areas these are indicated with ‘Limit’ and ‘Remaining’ at the bottom of the text entry box. Please note that the system does not provide a spell checker. Carriage returns and spaces are counted as characters. The character count will be slightly less than that of a Microsoft word character count.

The form counts all blank space as a part of the content of each box, so if you are short of space it will help if you delete extra carriage returns and place any bulleted lists into paragraph format.

Use of non-standard characters
You are advised not to use any non-standard characters in your text; in particular, you may experience a technical difficulty that affects the use of these characters ‘<’ ‘>’ ‘≥’ and ‘≤’. The system will currently strip these characters out of the content of the text without warning. If you need to use these symbols, then please replace them with words (i.e. less than or greater than, or less than or equal to or greater than or equal to). You will not be able to submit the form if you have either of these symbols or any other non-alphabetical or non-numerical characters in your text. For these reasons, it is advisable that you either type text directly into the form or ensure these characters are not included in any text that you copy and paste from other documents.

URL links
You may wish to include URL links to your application or refer to URL links in a body of your text. You are advised not to use any URL shortening service such as ‘tiny.cc’ when completing your application. This type of shortening service is associated with hacking and spamming (as it promotes the sending of links that are unclear where they are pointing).

Technical support documents including how to spell check, resize text boxes and use Mac/iPads and role specific guidance documents (External reviewers, Chief Investigators and Board and Panel members) can be found on the NETSCC MIS web pages at http://www.nets.nihr.ac.uk/mis/mis-technical-support.

Review and Submit Application
Please ensure that before you submit your application, you have completed the required fields and saved a version of your form. You must submit your application form and flow diagram / references where required, by the stated deadline before 1pm. We are unable to grant any time extensions and the deadline will be strictly observed. You should therefore plan your application carefully. We will not enter into negotiations for extensions.

Stage 1 and Stage 2 applications must be submitted electronically.

Submit your application using the Submit button on the last page of the web form. Please note that the Submit button will not appear unless all necessary sections have been completed. Warning signs (⚠️) may appear to indicate that you may have omitted some information but this sign indicates the information is not mandatory and you can submit without it.

✓ Complete The section/form has been filled out correctly
<table>
<thead>
<tr>
<th></th>
<th>Incomplete</th>
<th>Mandatory information has not been provided and the task cannot be submitted until this has been completed</th>
</tr>
</thead>
<tbody>
<tr>
<td>![Exclamation Mark]</td>
<td>Attention</td>
<td>This section has not been completed but is not mandatory</td>
</tr>
</tbody>
</table>

We strongly advise applicants at this point to check that all sections are completed and the correct documents have been uploaded into the system as they cannot be altered once submitted.

Once all sections have been completed and show as green ticks (or as a yellow advisory exclamation mark) a submit button will appear in the top left hand corner of the page and the form can be submitted to the HS&DR programme.

You will then receive an automated confirmation email from the HS&DR programme. If you do not receive an email please contact us immediately as there may be an issue with your submission.

**Un-submitted applications**

Seven days prior to the closing date you will receive an email reminder that you have an open application (i.e. not submitted).

**19. Assistance**

If, after carefully reading all the instructions, you still have difficulties completing your application, please visit the HS&DR programme website (www.nets.nihr.ac.uk/hsdr) which contains additional supporting information and resources.

If your particular query or problem is not addressed, please send an email to hsdринфо@nihr.ac.uk or telephone us on 023 8059 4304.

Please be aware that while every effort is made to answer queries, if the query is made very near the closing date, the HS&DR programme may not be able to provide a considered response. When emailing us please include details of the call which you are responding to, and the name of the lead applicant.